

*FINRA Conduct Rule 3010 requires an annual compliance meeting to be held with all registered personnel.*

QUEST CE

# Online Annual Compliance Meeting Solution

## Conduct Your Annual Compliance Meeting On-Demand with Quest CE

- Online Questionnaire automates the Q&A Process
- Deliver Annual Compliance Meetings On-Demand Over the Internet
- Online Q&A and Feedback Board for Post Meeting Compliance

Quest's Online Annual Compliance Solution provides an innovative online solution to administer FINRA's annual compliance meeting requirement and other online events, allowing firms to efficiently provide online registration, delivery, and tracking of meeting attendance. Quest's On-Demand Learning Platform enables firms to hold pre-recorded meetings online without the costly time and travel expenses associated with live meetings.

### Features:

- Automated Registration System
- Automated Email Notifications
- Online Meeting Environment

### Benefits:

- No Travel Expenses
- No Downtime for Travelers
- No Conference Call Fees

## Quest Offers Complete Compliance with FINRA Rule 3010

- Click as you go format. Attendees just don't let the presentation play in the background
- Detailed completion reports for managers
- Tracking attendance time and date
- Unique ID and password for each attendee
- Required attendance attestation at the end of the meeting
- Q & A system that allows attendees to post a question at any point during the meeting and see other questions/answers posted by other attendees

## Need More Information?

To find out more about Quest's Complete Firm Element Solution, call 877-593-3366 or email at [sales@questce.com](mailto:sales@questce.com).

