

QUEST CE

2017 Investment Advisor Course Catalog

Enclosed is a list of Quest CE's Investment Advisor courses. If you are searching for Firm Element training courses, please [click here](#). To view the Anti-Money Laundering course catalog, [click here](#).

Search for specific words or phrases by pressing the **Control (Ctrl)** and **F** keys on your keyboard.

A Professional's Guide to Ethical Decision Making

Advanced Anti-Money Laundering Overview

Advanced Look at Collective Funds

Advanced Look at Insider Training

An Introduction to Collective Funds

Anti-Fraud Programs and Controls for the Investment Adviser

Anti-Money Laundering Regulations and Red Flag Requirements for the
Investment Adviser

Client Suitability for the Investment Adviser

Code of Conduct

Customer Data Protection

Due Diligence for Investment Advisers

Effective Marketing Strategies Utilizing Social Media

Ethics and Professional Conduct

Ethics for the Financial Services Professional

Harassment and Discrimination in a Professional Environment

Harassment and Discrimination in a Professional Environment for Supervisors

Identity Theft Prevention and the Financial Services Industry

Information Security: Protecting you, your Clients and your Firm
for the Investment Adviser

Insider Trading

Insider Trading: The Illegal Side

Investment Adviser – Fiduciary Responsibilities

Investment Adviser Code of Ethics

Online Identity Theft Scams

Preventing Personal Identity Theft

Preventing Sexual Harassment

Privacy, Confidentiality and Information Security